Neural Substrates of Abstinence-Induced Cigarette Cravings in Chronic Smokers

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Craving is a hallmark of drug dependence, including dependence on nicotine. Many studies have examined the neural substrates of cravings elicited by smoking-related cues. Less is known about the neural basis of unprovoked, abstinence-induced cravings, despite the contributions of such cravings to smoking relapse. To fill this gap, we used arterial spin labeled (ASL) perfusion magnetic resonance imaging to characterize the neural substrates of abstinence-induced cravings to smoke. Fifteen chronic smokers were scanned during a resting state on two separate occasions: (1) smoking satiety and (2) abstinence (after ≥12 h of smoking deprivation), in counterbalanced order. Smoking abstinence state (vs satiety) was associated with increased cerebral blood flow (CBF) in anterior cingulate cortex (ACC)/medial orbitofrontal cortex (OFC) and left OFC. Abstinence-induced cravings to smoke were predicted by CBF increases (abstinence minus satiety) in the right OFC, right dorsolateral prefrontal cortex, occipital cortex, ACC, ventral striatum/nucleus accumbens, thalamus, amygdala, bilateral hippocampus, left caudate, and right insula. These data suggest that increased activation in the brain’s visuospatial and reward circuitry underlies abstinence-induced cravings to smoke, and thereby, may be important in relapse.

Key words: addiction; cerebral blood flow; cortex; mesolimbic; nicotine; neuroimaging

Introduction

Cravings are a prominent feature of models of drug dependence, including nicotine, and a target of medication development efforts (Nestler, 2002; Lerman et al., 2007). However, not all forms of craving are equivalent with respect to the proximal causes or consequences. “Cue-elicited cravings” are thought to arise from a behavioral conditioning process in which stimuli associated with smoking trigger drug-seeking behavior (Caggiula et al., 2001; Conklin, 2006). A second form of craving develops rapidly after smoking cessation, in the absence of smoking-related cues (Jarvik et al., 2000). These “abstinence-induced cravings” appear to be more sensitive to effects of nicotine delivery than cue-induced cravings (Tiffany et al., 2000; Morissette et al., 2005). Furthermore, severity of abstinence-induced craving predicts lapses and relapse after a cessation attempt (Killen and Fortmann, 1997; Shiffman et al., 1997), whereas the relationship between cue-elicited craving and relapse is more equivocal (Niaura et al., 1989; Shadel et al., 1998).

The brain circuitry that underlies cue-elicited cravings to smoke has been explored extensively using positron emission tomography (PET) and functional magnetic resonance imaging (fMRI) (Brody, 2006). Compared with neutral cues, smoking-related cues produce increased activation in the brain’s visual attention and reward circuitry (Brody et al., 2002, 2004; David et al., 2005; McClernon et al., 2005). Some of these regions (e.g., dorsolateral prefrontal cortex, hippocampus, insula) are also implicated in subjective cravings in cue-induction studies (Brody et al., 2002; McClernon et al., 2005; Franklin et al., 2007). However, these studies varied with respect to the abstinence status of smokers, and only one (McClernon et al., 2005) compared cue-induced craving between abstinent and nonabstinent states. Thus, much less is known about how nicotine abstinence affects regional brain activation or about the neural circuitry underlying abstinence-induced cravings to smoke.

Predictions about the neural basis of abstinence-induced cravings can be generated based on previous neurobiological evidence. Nicotine binds to α4β2 nicotinic acetylcholine receptors (nAChRs) on dopaminergic neurons in the ventral tegmental area (Brody et al., 2006), which project to the nucleus accumbens, amygdala, and the prefrontal cortex (Kalivas and Volkow, 2005). In chronic smokers, a single cigarette produces nearly complete saturation and desensitization of α4β2 nAChRs (Brody et al., 2006). In contrast to nicotine delivery, abstinence from nicotine increases the availability of unbound α4β2 nAChRs, which in turn, contributes to smoking urges (Staley et al., 2006). Thus, brain regions rich in α4β2 nAChRs (e.g., the ventral striatum,
Materials and Methods

Subjects. All procedures were approved by the University of Pennsylvania Institutional Review Board. Fifteen smokers, 18–55 years old, who smoked at least 10 cigarettes per day for the last 6 months were recruited through newspaper advertisements. Persons with chronic medical, neurological, or Diagnostic and Statistical Manual of Mental Disorders, Ed IV, Axis I psychiatric disorder were excluded. Eligible participants were required to abstain from psychotropic medications for 14 d before the session and throughout the study (i.e., monoamine oxidase inhibitors, benzodiazepines, antidepressants, antipsychotics).

Design and procedures. The experiment used a within-subject design with two imaging sessions occurring 1–3 weeks apart: (1) smoking as usual and (2) overnight (12–14 h) abstinence. Both sessions occurred before 12 noon for standardization and the order of sessions was counterbalanced across participants. For both sessions, subjects were instructed to refrain from alcohol or other drugs for at least 24 h before the session, to abstain from food for 2 h before the session, and to abstain from caffeine for at least 1 h before the session. For the smoking as usual session, participants were asked to smoke one of their own brand cigarettes before entering the clinic.

After arrival to the clinic, participants completed self-report questionnaires (below) and provided a carbon monoxide (CO) breath sample. This sample was used to verify overnight abstinence for the abstinence session. All participants self-reported no smoking for the past 14 h. We used a criterion of 15 ppm, because reduced lung function in long term smokers produces variability in ability to clear CO overnight. All but two participants had a CO level below the criterion of 15 ppm; the other two participants had COs of 16 and 18 (both had reductions in CO of >60% from the satiety to abstinence session; after reinterview, both indicated strongly that they had not smoked). CO level at the satiety session was used to control for recent tobacco exposure in the analyses. All participants were fed a meal of a Nutri-Grain® bar and water to standardize food intake before scanning. After completion of these assessments and procedures, participants were walked to the radiology clinic (~20 min) for the scanning session. Including set-up time, there was a span of ~45–60 min between the last cigarette smoked (on the smoking as usual day) and the imaging session.

Measures. At baseline, participants completed standard demographic assessments and measures of smoking history. The Fagerstrom Test for Nicotine Dependence (Fagerstrom and Schneider, 1989), a six-item validated scale, was also administered. After arrival to the clinic, participants also rated the severity of their cravings to smoke using two four-point Likert scale items (“cravings for a cigarette” and “urges to smoke at this time”; scored from 0, not present, to 3, severe) that predicted smoking relapse in previous research (Killen and Fortmann, 1997). In addition, we assessed total withdrawal scores (Hughes et al., 1984; Cinciripini et al., 1995). On this 18-item scale, participants rate the severity of physical/somatic (e.g., increased heart rate), cognitive (e.g., difficulty concentrating), and affective (e.g., irritability) symptoms on a four-point Likert scale (0, not present, to 3, severe).

Imaging protocol. ASL perfusion MRI was used to characterize changes in resting regional blood flow. ASL perfusion MRI provides a noninvasive and comparatively inexpensive method for quantifying CBF during a task condition or a resting state using magnetically labeled arterial blood water as an endogenous tracer (Detre et al., 1992). The magnetic tracer has a decay rate of T1, the longitudinal relaxation time for blood water or brain tissue, which is sufficiently long (1–2 s at 3 tesla) to allow perfusion of the microvasculature to be detected. Tissue perfusion is quantified by pairwise comparison with separate images acquired with control labeling, using an appropriate model (Aloot et al., 2000). An unlimited number of CBF measurements can be made, enhancing power for time series based statistical analysis. CBF measurements with ASL perfusion MRI have been shown to agree with results from O-15 PET (Ye et al., 2000; Feng et al., 2004) and dynamic susceptibility contrast agent approaches (Siwert et al., 1997; Wolf et al., 2003). In addition, ASL perfusion measurements both at rest and during task activation have been demonstrated to be highly reproducible across intervals varying from a few minutes to 7 weeks (Floyd et al., 2001; Wang et al., 2003; Parkes et al., 2004; Hermes et al., 2007).

Imaging was performed on a Siemens (Erlangen, Germany) 3T Trio MR scanner using a product volume coil. High-resolution structural MR images were acquired in both sessions for CBF image spatial normalization, using a T1-weighted three-dimensional (3D) magnetization-prepared rapid acquisition gradient echo (MP-RAGE) sequence with 160 slices, 1.0 mm thickness, a 22 cm field of view (FOV), 192 × 256 matrix, an inversion time of 1100 ms, repetition time (TR) of 1630 ms, echo time (TE) of 3 ms, and number of excitations equalling 1. Immediately after the MP-RAGE scan, 40 resting label/control image pairs were acquired to measure CBF using an amplitude modulated continuous ASL (CASL) perfusion MRI sequence (Wang et al., 2005) with parameters as follows: labeling time, 2 s; postlabeling delay, 1200 ms; FOV, 22 cm; matrix, 64 × 64 × 16; flip angle, 90°; TR, 4 s; TE, 17 ms; slice thickness, 7 mm; interslice spacing of 0.5 mm. These data were acquired before a longer scanning protocol that included fMRI.

During the perfusion scan, participants were instructed to lie quietly in the scanner. Because we were interested in cravings during a resting state, and because cognitive tasks are known to change CBF (Xu et al., 2005), participants did not perform any task during the sessions. They were reminded not to fall asleep during the scan.

Data analysis. An SPM5 (Wellcome Department of Cognitive Neurology, London, UK) based ASL data processing toolbox, ASLtbx (Wang et al., 2007), was used for data analyses. For each session, ASL image pairs were realigned to the mean of all control images to correct for head movements, and spatially smoothed with a 3D isotropic Gaussian kernel with full-width at half-maximum of 10 mm. One subject was excluded because of excessive motion, resulting in a sample size of 14. CBF image series were generated using a simplified two-compartment CASL perfusion model (Wang et al., 2005) with the simple-subtraction method for CBF calculation (Aguirre et al., 2005). For each session, the mean control image was coregistered to the structural image acquired in the same session using the mutual information based coregistration algorithm provided by SPM5. The same coregistration parameters were also used to coregister the CBF maps to the structural image. The structural image was then spatially normalized to the MNI standard brain provided by SPM5. The same parameters were used to normalize the CBF maps to the MNI standard space. Each subject’s normalized mean control images were segmented using SPM5. The segmented gray matter masks were averaged and the overlap of all subjects’ gray matter was pulled out and used as a final mask for calculating global CBF of each session.

Contrast analysis between the abstinence and smoking session was conducted on each subject’s normalized CBF images using a general linear model (first level analysis). A random effect analysis using one-sample t testing (Holmes and Friston, 1998) on the parametric maps of the first level contrast was used to generate a statistical parametric map of the T statistic at each voxel for population inference (second-level analysis). Multiple regression analysis was conducted by including the first-level contrast analysis results and the changes of craving scores, CO scores of the smoking session, and gender to examine the corresponding
correlations between the perfusion changes and the changes in cravings induced by abstinence (i.e., abstinence minus satiety). Another multiple regression with the total withdrawal score difference (abstinence minus satiety), CO scores of the smoking session, and gender as covariates was performed to examine correlations between CBF changes and changes in total withdrawal symptoms.

Two-sample t tests were applied to test (1) whether there is a significant main effect of session (i.e., abstinence vs satiety state) on global CBF values, and (2) whether global CBF changes and changes in craving scores (abstinence vs satiety) are correlated. Gender and the CO scores of the smoking session were included as nuisance covariates.

Statistical analysis results were first thresholded with an uncorrected voxelwise threshold of \( p < 0.005 \) (two tailed, uncorrected) and the color bar indicates the range of \( t \) values displayed. The two blue lines superposed on the medial sagittal slice indicate the locations of the two left axial slices.

Results

Descriptive data on study population

Of the 14 participants with useable imaging data, six (43%) were male and eight (57%) were female. Fifty percent of participants had at least some college education. The average age was 38.9 (SD, 10.4) and participants smoked, on average, 16.9 (SD, 5.6) cigarettes per day. The average Fagerstrom Test for Nicotine Dependence score was 4.93 (SD, 2.06) and all participants were of European ancestry.

As expected, the nicotine abstinence manipulation produced significant differences in cravings across the two sessions [average craving scores of 3.57 (SD, 2.06) vs 0.71 (SD, 0.91) for abstinence and smoking, respectively; \( t = 5.07; p = 0.0001 \)].

Global CBF analysis of session effects and correlations with craving

A two-sample \( t \) test comparing CBF during abstinence and satiety did not show a significant effect (\( p = 0.21 \), with CBF = 56.6 ± 2.9 ml/100 g/min during abstinence and 53.4 ± 2.2 ml/100 g/min for satiety). However, the multiple regression analysis did show a significant (\( p = 0.022 \)) positive correlation between the global CBF increases (abstinence vs satiety session) and abstinence-induced craving (abstinence vs satiety session).

Regional CBF analysis of abstinence-induced craving

Figure 2 shows the correlation between abstinence-induced abstinence and satiety did not show a significant effect (\( p = 0.21 \), with CBF = 56.6 ± 2.9 ml/100 g/min during abstinence and 53.4 ± 2.2 ml/100 g/min for satiety). However, the multiple regression analysis did show a significant (\( p = 0.022 \)) positive correlation between the global CBF increases (abstinence vs satiety session) and abstinence-induced craving (abstinence vs satiety session).

Figure 1 shows the main regional CBF effects of abstinence versus satiety. At an arbitrary voxelwise threshold of \( p < 0.005 \) (uncorrected for multiple voxels) and cluster size over 30, two hyperperfusion clusters were found in the ACC (the most inferior part) partly overlapping the medial OFC (hyperperfusion, \( p = 0.005 \), FWE correction using SVC with \( r = 12 \) mm), and in the left OFC (\( p = 0.044 \), FWE using SVC with \( r = 10 \) mm); a hypoperfusion cluster (Fig. 1, the blue spot) was found in the right PFC (\( p = 0.032 \), FWE using SVC with \( r = 10 \) mm). In these three suprathresholded clusters, 14 ml/100 g/min CBF increases were found in right OFC and ACC/medial OFC; a 6.7 ml/100 g/min CBF decrease was found in the left OFC.

Regional CBF analysis of session effects

All imaging results are presented using the neurological orientation, with the left side of the brain on the left side of the image. \( X \), \( Y \), and \( Z \) refer to the left–right (sagittal), anterior–posterior (coronal), and the inferior–superior (axial) orientations, respectively, and are color coded in yellow, turquoise, and blue. The location of each slice is marked by lines with different colors and the corresponding \( X \) or \( Z \) value.

Figure 2 shows the correlation between abstinence-induced absolute CBF changes and abstinence-induced craving changes (the difference in scores between abstinence and satiety sessions). Controlling for gender and CO values at the smoking session,
significant correlation clusters were identified in the ACC \( (p = 0.001, \text{FWE correction}) \), left thalamus/hippocampus \( (p = 1 \times 10^{-10}, \text{FWE correction}) \), right thalamus/hippocampus \( (p = 1 \times 10^{-9}, \text{FWE correction}) \), right PFC \( (p = 0.048, \text{FWE correction}) \), and occipital cortex \( (p = 1 \times 10^{-8}, \text{FWE correction}) \). Using SVC, additional significant correlation clusters were found in right amygdala/ventral striatum \( (p = 0.016, \text{FWE correction with SVC}; r = 10 \text{ mm}) \), left amygdala/ventral striatum \( (p = 0.005, \text{FWE correction with SVC}; r = 10 \text{ mm}) \), left OFC \( (p = 0.011, \text{FWE with SVC}; r = 10 \text{ mm}) \), medial OFC \( (p = 0.032, \text{FWE with SVC}; r = 10 \text{ mm}) \), right OFC \( (p = 0.018, \text{FWE with SVC}; r = 10 \text{ mm}) \), left dorsolateral prefrontal cortex (DLPFC) \( (p = 0.013, \text{FWE with SVC}; r = 12 \text{ mm}) \), right DLPFC \( (p = 0.002, \text{FWE with SVC}; r = 12 \text{ mm}) \), left caudate/putamen \( (p = 0.008, \text{FWE with SVC}; r = 10 \text{ mm}) \), right insula \( (p = 0.013, \text{FWE with SVC}; r = 10 \text{ mm}) \), left medial temporal gyrus (MTG) \( (p = 0.007, \text{FWE with SVC}; r = 12 \text{ mm}) \), and right MTG \( (p = 0.01, \text{FWE with SVC}; r = 12 \text{ mm}) \).

Based on a previous study (Tanabe et al., 2007), a region-of-interest (ROI) analysis was also performed to examine the correlation between the CBF changes in thalamus and abstinence-induced craving, controlling for CO level and gender. The thalamus ROI was provided by the Wake Forest Pick atlas utility (Maldjian et al., 2003) and covers the whole bilateral thalamus. This ROI-based multiple regression analysis yielded a significant \( (p = 0.04) \) positive correlation between the abstinence-induced perfusion increase and the subjective craving increases (abstinence minus satiety).

**Regional CBF analysis of abstinence-induced total withdrawal symptoms**

Figure 3 shows the correlation between abstinence-induced CBF changes and total withdrawal score changes \( (i.e., \text{difference in scores between abstinence and satiety sessions}) \). Three clusters showing significant correlations between CBF change and total withdrawal symptom change were identified: the right DLPFC \( (p = 1 \times 10^{-6}, \text{FWE correction}) \), caudate \( (p = 0.024, \text{FWE using SVC}; r = 12 \text{ mm}) \), and the right MTG/hippocampus \( (p = 0.0001, \text{FWE correction}) \).

**Discussion**

The present study examined effects of abstinence from smoking on resting CBF, as well as the correlation between abstinence-induced changes in resting CBF and changes in cravings to smoke. Abstinence (vs satiety) state effects on global CBF were not significant; however, abstinence state was associated with significant regional CBF increases in ACC (the most inferior part) and medial OFC. Abstinence-induced cravings to smoke were correlated significantly with resting global CBF changes (abstinence vs satiety), as well as resting CBF changes in several ROIs: the right DLPFC, OFC, left inferior frontal cortex, occipital cortex, ACC, ventral striatum/nucleus accumbens, thalamus, amygdala, bilateral hippocampus, left caudate, right insula, and medial temporal gyrus. Consistent with our predictions, hyperperfusion associated with abstinence-induced craving was found in regions that are rich in \( \alpha_4\beta_2 \) nAChRs (ventral striatum/nucleus accumbens, DLPFC, thalamus, inferior frontal cortex), regions linked with learning and memory processes (amygdala, hippocampus), and those involved in attention and behavioral control (ACC, OFC).

The ACC and OFC are paralimbic regions that have been implicated in drug-seeking behavior (Kalivas and Volkow, 2005), partly via their roles in reward-related decision-making and cognitive control (Rushworth et al., 2007). As with abstinence-induced craving in the present study, ACC and OFC activation have been linked with cue-induced craving in previous research (Brody et al., 2002; David et al., 2005; McClernon et al., 2005; Franklin et al., 2007). These same regions are activated after delivery of nicotine vs placebo (Stein et al., 1998; Rose et al., 2003), although decreased ACC activation has also been observed among smokers performing cognitive tasks (Ghata et al., 1998; Ernst et al., 2001; Hahn et al., 2007). Together, these findings support an important role for the ACC and OFC in nicotine dependence, and perhaps specifically in craving responses that are known to promote relapse.

The hippocampus and amygdala, which play important roles in associative learning and synaptic plasticity (Tronson and Taylor, 2007), are also implicated in abstinence-induced cravings in the present study. These results are consistent with some smoking cue-induction studies (Due et al., 2002; Franklin et al., 2007). The ventral striatum, also associated with abstinence-induced craving in the present study, is activated by smoking related cues as well (David et al., 2005; Franklin et al., 2007). The ventral striatum has been implicated in the anticipation and immediate response to rewards, particularly among individuals scoring high on a trait measure of impulsivity (Hariri et al., 2006).

Correlations observed in the present study between abstinence-induced cravings and insula activation are consistent with the role of this region in awareness of interoceptive cues (Damasio et al., 2000), which are a key component of the subjective nicotine withdrawal syndrome (Hughes et al., 1984). Furthermore, a previous study doc-
ments that smokers with damage to the insula report greater ease of smoking cessation with minimal smoking urges, compared with smokers with damage to other brain regions (Naqvi et al., 2007).

Finally, the thalamus, rich in nAChRs that stimulate dopamine release (Brody et al., 2006), is also implicated by the present analysis of abstinence-induced craving. Previously, Tanabe and colleagues (Tanabe et al., 2007) reported an inverse correlation between abstinence-induced global withdrawal symptoms and reductions in CBF in the thalamus. In contrast, we observed a positive correlation between the increase of CBF in the thalamus during abstinence and the abstinence-induced craving. To address these differences, we also analyzed data on a global withdrawal symptom scale, finding CBF increases in the right DLPFC, hippocampus, and medial temporal gyrus, but not thalamus.

The greater sensitivity of craving scores, compared with global withdrawal scores, for correlations with CBF changes during abstinence is noteworthy. Increased cravings to smoke post cessation consistently predict relapse, particularly in the first weeks of quitting (Doherty et al., 1995; Swan et al., 1996; Killen and Fortmann, 1997; al’Absi et al., 2004). For example, in a sample of >2600 smokers, one third of those (32%) who reported the highest levels of craving relapsed within 1 week of quitting, compared with 15% of those with the lowest levels of craving (Killen and Fortmann, 1997). Global assessments of withdrawal that encompass somatic, cognitive, and affective symptoms (Hughes, 2007) tend to be less robust predictors of relapse. For example, increased withdrawal symptoms have been shown to predict relapse during cessation treatment in some studies (Piasecki et al., 2000; al’Absi et al., 2004), but not others (Piasecki et al., 1997; Strasser et al., 2005). This is further supported by data showing that the extent to which smoking cessation treatments reduce withdrawal does not necessarily correlate with their efficacy (Jorenby et al., 1995). Thus, global measures of abstinence symptoms may not only be less sensitive measures in neuroimaging studies of smoking behavior, but also appear to have reduced prognostic value compared with measures of specific constructs (e.g., craving).

The present study has several strengths, including the within-subject design and the abstinence manipulation. However, there are potential limitations as well. First, on the day of the satiety session, participants smoked their last cigarette 45–60 min before the scanning session. This time lag was introduced because smoking a cigarette immediately before the scan would make it difficult to disentangle the effects of acute nicotine delivery. Nonetheless, it is possible that some participants may have experienced minor abstinence symptoms during the smoking session. However, craving scores between the two sessions were significantly different, suggesting that the abstinence manipulation was effective. Second, we used CO to verify overnight abstinence because cotinine assays are not specific to recent tobacco use, although it should be noted that CO levels are more sensitive for recent smoking, rather than chronic smoking. Third, physiological assessments were not performed during scanning, and we cannot rule out the possibility that a subject fell asleep, despite our urging to the contrary. Fourth, with only two sessions examined in this study and no control group, it was not possible to determine the intersession test–retest reliability of ASL perfusion for our data. However, the effects reported in the present study were much larger than the mean differences observed between two time points 7 weeks apart in a previous CASL reproducibility study (Hermes et al., 2007). In future work, it would be desirable to use a design that would allow test–retest reliability to be determined.

It should also be noted that it is not possible from the present data to tease apart the effects of smoking abstinence versus nicotine abstinence on craving responses and associated regional brain activation. Non-nicotine aspects of smoking are an important component of dependence, and denicotinized cigarettes produce reduction in cravings (Rose, 2006). Furthermore, a single cigarette results in 88% occupancy of α4β2 nicotinic receptors 3 h after smoking (Brody et al., 2006), and nicotine can take several days to clear the brain (Staley et al., 2006). These data support the premise that abstinence-induced cravings arise from both nicotine and non-nicotinic factors. Thus, it would be of interest for future studies to examine regional brain activation associated with craving reduction after nicotine versus denicotinized cigarettes.

The present findings contribute new evidence that abstinence-induced (unprovoked) cravings to smoke are associated with increased activation in brain regions important in attention, behavioral control, memory, and reward. Items used to assess craving in the present study predict relapse in smoking cessation treatment (Killen and Fortmann, 1997). Thus, if validated in larger studies, these results may have important clinical implications. For example, perfusion MRI may aid in the identification of smokers at increased risk for relapse who may require more intensive therapy (Borsook et al., 2006).

References


