

PHILIP G. SWICEGOOD

*3100 Cliffside Road
Shelby, NC 28152
704.434.4887
swicegoodpg@wofford.edu*

CURRENT POSITION

Associate Professor of Finance, Wofford College

AREAS OF INTEREST

Teaching: Investments, Corporate Finance, Business Ethics, Real Estate
International Finance

Research: Investments, Real Estate, Corporate Finance, Commercial Banking
Neural Network Modeling, Business Ethics

EDUCATION

Ph.D.	<i>Florida State University</i> Finance (Real Estate minor)	Tallahassee, FL August 1998
M.B.A.	<i>University of Texas</i> Finance	Austin, TX May 1991
B.S.	<i>Liberty University</i> Finance (Philosophy minor)	Lynchburg, VA May 1989

PROFESSIONAL WORK EXPERIENCE

Directing Partner, The Broad River Group LLC, Shelby, NC, 2005-Present.
Oversee the financial management of a real estate investment group.

Consultant/Expert Witness, various local law firms, 2006-Present. Provide economic and financial analysis for law firms.

Consultant, Horizon Investments LLC, Columbia, SC, 2003-2006. Consulted on research development, product development, and strategy.

Trainer and Author, HighMark Incorporated, Atlanta, GA, 2001-Present.
Conducted finance training seminars for business leaders in developing countries. Recent trips include Russia (in partnership with Moscow State University at Samara), Macedonia, India, and Vietnam. Authored the new HighMark finance curriculum that is now being used by trainers globally (16 countries at last count).

Associate National Bank Examiner, Office of the Comptroller of the Currency - U.S. Department of the Treasury, Richmond, VA, 1991-1994. Worked with senior

bank managers from a diverse portfolio of institutions, including community, regional, and multinational banks. Specialist in capital markets, commercial lending, and real estate lending.

TEACHING AND RESEARCH EXPERIENCE

Associate Professor

- Financial Management
- Investments
- Real Estate Finance
- International Finance

Wofford College
(2005-Present)

Associate/Assistant Professor

- Financial Management
- Investments
- Risk Management and Insurance
- Commercial Banking
- International Finance
- Personal Finance
- Integration of Faith, Business, and Ethics

Gardner-Webb University
(1998-2005)

Adjunct Professor

- Managerial Finance

Liberty University
Summer 2001

Teaching Assistant

- Financial Markets, Institutions, and International Finance
- Investments and Financial Markets

Florida State University
(1995-1998)

Research Assistant

- Neural Network modeling

Florida State University
(1994-1996)

PUBLICATIONS

"Team Teaching a Class on Integration of Faith and Business" *Christian Business Academy Review*, Volume 1, No. 1, Spring 2006.

"Successful Financial Management Principles", part of the *Successful Business Principles* series produced by HighMark Incorporated, 2005.

"Play Ball at My House: The Value of Naming Rights at Sports Complexes" with Carol Boyer, Yvette Harman, and Steve Ciccone. *Southern Business and Economic Journal*, Volume 26, No. 4, Fall 2004.

"The Efficacy of Business Ethics Courses When Coupled With A Personal Belief System" with Brian Neureuther and Philip Williams, *Journal of College Teaching and Learning*, Volume 1, No. 4, 2004.

“Business Ethics: Does It Matter What You Believe, Does It Matter What You Are Taught?” with Philip Williams, Van Graham, and Brian Neureuther. *Proceedings of the Christian Business Faculty Association*, Fall 2001.

“Off-Site Monitoring Systems for Predicting Bank Underperformance: A Comparison of Neural Networks, Discriminant Analysis, and Professional Human Judgment” with Jeffrey Clark, *International Journal of Intelligent Systems in Accounting, Finance, and Management*, Vol.10, No. 3, Fall 2001.

“Determining Real Estate Licensee Income” with G. Stacy Sirmans, *Journal of Real Estate Research*, Vol. 20, No. 2, Summer 2000.

“Who Is Earning the Big Bucks?” with G. Stacy Sirmans, *Tierra Grande*, December 1998.

“The Market’s Evaluation of FDICIA: An Examination of the Empirical Evidence,” *Southern Business and Economic Journal*, Volume 20, No. 4, July 1997.

“Determinants of Real Estate Licensee Income” with G. Stacy Sirmans, *Journal of Real Estate Research*, Volume 14, No. 2, 1997.

CURRENT RESEARCH AND CONFERENCE PRESENTATIONS

“Beating the S&P500” with Carol Boyer and Steve Ciccone. Working paper.

“Reading Between the Lines: The Effects of Bond Rating Changes At Different Rating Levels.” Working paper.

“What Affects Accounting Students’ Ethical Values?” with Art Boyett, Earl Godfrey, and Brian Neureuther. Presented at the 13th International Symposium on Ethics, Business and Society, May 2004, Barcelona, Spain.

“The Efficacy Of Business Ethics Courses When Coupled With A Personal Belief System” with Brian Neureuther and Philip Williams. Presented at the College Teaching and Learning Conference, January 2004. Winner of the 2004 CTLC’s “Best Paper” award.

“Play Ball at My House: The Value of Naming Rights at Sports Complexes” with Carol Boyer, Yvette Harman, and Steve Ciccone. Presented at the Financial Management Association Conference, October 2003.

"Team Teaching a Class on 'Integration of Faith and Business': Observations and Lessons Learned from the Experience" with Arlen Honts, Bob Mellbye, John Keyt, and Stephen Perry. Presented at the Christian Business Faculty Association conference, October 2003.

“Business Ethics: Does It Matter What You Believe, Does It Matter What You Are Taught?” with Philip Williams, Van Graham, and Brian Neureuther. Presented at the Christian Business Faculty Association conference, October 2001.

“Neural Networks as a Tool for Predicting Financial Performance.” Presented at the Gaston-Carolinas Chapter of the Institute of Management Accountants, March 2001.

“Developing Off-Site Monitoring Systems for Predicting Bank Underperformance” with Jeffrey Clark. Completed working paper.

“Early Signaling of Bond Rating Changes: Using a Neural Network Tool for Prediction” with Pamela Coats and Susan Hruska. Presented at the Southern Finance Association Meeting, November 1997.

“Marginal Investor Votes Versus Shareholder Votes: Evidence From Proposals To Grant Options To Directors” with James Ang. Presented at the Financial Management Association Meeting, October 1997.

“The Market’s Evaluation of FDICIA: An Examination of the Empirical Evidence.” Presented at the Southern Finance Association Meeting, November 1996.

“Determinants of Real Estate Licensee Income” with G. Stacy Sirmans. Presented at the American Real Estate Society Meeting, March 1996.

OTHER ITEMS OF INTEREST

Recipient of the 2006 Summer Research Grant, Wofford College.

Recipient of the *2003-2004 Teacher of the Year Award*, Graduate School of Business, Gardner-Webb University.

Recipient of the *2003-2004 Student Choice Awards*, Gardner-Webb University.

Serve as reviewer for *Southern Business and Economic Journal*; *International Journal of Intelligent Systems in Accounting, Finance, and Management*; *Journal of Business Ethics*; *Christian Business Academy Review*; and *Journal of Real Estate, Finance, and Economics*. Also served as a reviewer for the textbooks *Principles of Risk Management and Insurance* (9th ed.), by Rejda, and *Fundamentals of Corporate Finance* (7th ed.), by Ross, Westerfield, and Jordan.

Recipient of \$25,000 endowment grant from Branch Banking & Trust to start a Student Investment Fund at Gardner-Webb University. Served as Faculty Sponsor of the Student Investment Club.

Participant with Stacy Sirmans in a \$50,000 research grant from the Real Estate Center at Texas A & M University, studying Texas Realtor licensee characteristics and income determinants.

Recipient of the *1996-1997 Doctoral Teaching Assistant Award*, College of Business, Florida State University.

Twice recognized with the *Outstanding Service Award* (1992 and 1993), Office of the Comptroller of the Currency - U.S. Department of the Treasury.